

**1AP7 Rec'd PCT/PTO 27 JAN 2006**

**MAIL STOP PCT**

Attorney Docket: 25528U

Date: January 27, 2006

**10/566079**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

SERIKAKU

Serial No.: Not Yet Assigned

Filed: January 27, 2006

Internatl. Appl. No.: PCT/US2003/023723

Internatl. Filing Date: 30 July 2003 (30.07.2003)

Title: **STEROIDAL COMPOSITIONS CONTAINING HYDROXYCARBOXYLIC ACIDS AND METHODS OF USING THE SAME**

**TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

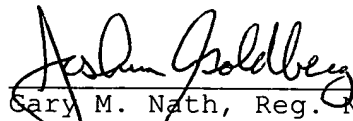
Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- 1) Transmittal Letter to U.S. Designated/Elected Office (DO/EO/US) Concerning Filing under 35 U.S.C. 371;
- 2) International Publication Number: WO2005/016307 A1; International Publication Date: 24 February 2005 (24.02.2005), which consists of 78 pages:
  - 1 page for the Cover Sheet containing the Abstract,
  - 52 pages of Textual Specification,
  - 25 pages of 79 Claims,
  - 0 sheets of the Drawings;
- 3) **Preliminary Amendment with Attachment A to be examined;**
- 4) Unexecuted Declaration and Power of Attorney;
- 5) Information Disclosure Statement;
- 6) PCT/ISA/220 (Notification of Transmittal of The International Search Report or the Declaration);
- 7) PCT/ISA/210 (International Search Report);
- 8) PCT/IB/301 (PCT Notification of Receipt of Record Copy);
- 9) Check No.: 1596 in the Amount of \$ 2,650.00 for the Basic Filing Fee, which includes the Search and Examination Fees, as a Large Entity;
- 10) Postcard for Early Notification of the Serial Number Request.

Respectfully submitted,

**NATH & ASSOCIATES PLLC**



Gary M. Nath, Reg. No. 26,965

Joshua B. Goldberg, Reg. No. 44,126

Customer No. 20529

**NATH & ASSOCIATES PLLC**

112 South West Street

Alexandria, VA 22314

GMN/JGK/sw

(NATLPHASE APPLICATION)

AP7 Rec'd PCT/PTO 27 JAN 2006

Attorney Docket: 25528U

MAIL STOP PCT

U.S. Department Of Commerce, Patent And Trademark Office		Date: January <u>27</u> , 2006
Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371		U.S. Application No. <u>2005/0163079</u> (if known) Not Yet Assigned
International Application No.: PCT/US2003/023723	International Filing Date: 30 July 2003 (30.07.2003)	Priority Date Claimed:
Title of Invention: <b>STEROIDAL COMPOSITIONS CONTAINING HYDROXYCARBOXYLIC ACIDS AND METHODS OF USING THE SAME</b>		
Applicants for DO/EO/US: SERIKAKU, Daniela		
<p>Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li><input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):             <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input type="checkbox"/> has been communicated by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li><input type="checkbox"/> A English translation of the International Application as filed (35 U.S.C. 371(c)(2)).</li> <li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li><input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).</li> <li><input type="checkbox"/> have been communicated by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p><b>ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</b></p> <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li><input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825</li> <li><input type="checkbox"/> A second copy of the published international application under 35 USC 154(d)(4)</li> <li><input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC 154(d)(4)</li> <li><input checked="" type="checkbox"/> Other items or information: TRANSMITTAL LETTER; INTERNATIONAL PUBLICATION NUMBER:WO 2005/016307A1, WITH INTERNATIONAL SEARCH REPORT; INTERNATIONAL PUBLICATION DATE: 24 FEBRUARY 2005(24.02.2005), WHICH CONSISTS OF 78 PAGES: 1 PAGE FOR THE COVER SHEET CONTAINING THE ABSTRACT, 52 PAGES OF TEXTUAL SPECIFICATION, 25 PAGES OF 79 CLAIMS, 0 SHEETS OF DRAWINGS; <b>PRELIMINARY AMENDMENT WITH ATTACHMENT A TO BE EXAMINED</b>; UNEXECUTED DECLARATION AND POWER OF ATTORNEY; INFORMATION DISCLOSURE STATEMENT; PCT/ISA/220(NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION); FORM PCT/ISA/210(INTERNATIONAL SEARCH REPORT); PCT/IB301(PCT NOTIFICATION OF RECEIPT OF RECORD COPY); POSTCARD FOR EARLY NOTIFICATION OF THE SERIAL NUMBER REQUEST.</li> </ol>		

14P20 Rec'd PCT 27 JAN 2006

Attorney Docket: 25528U

MAIL STOP PCT

U.S. Application Number: (Off. Known) Not Yet Assigned <b>10/366079</b>	International Application Number: <b>PCT/US2003/023723</b>	Date: January <b>27</b> , 2006
---	---	--------------------------------

The following fees are submitted: 21. Basic National Fee (37 CFR 1.492(a))..... \$ 300.00  22. Examination Fee (37 CFR 1.492 (c)) If the written opinion prepared by ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$ 0.00 All other situations ..... \$ 200.00  23. Search Fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$ 0.00 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... \$ 100.00 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB ..... \$ 400.00 All other situations ..... \$ 500.00  Additional fee for specification and drawings filed in paper over 100 sheets (excluding Sequence listing or computer program listing filed in an electronic medium). The fee is \$ 250.00 for each additional 50 sheets of paper or fraction thereof.  <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>	<u>CALCULATIONS</u>  \$ 300.00    \$ 200.00    \$ 100.00    \$ 600.00	<u>PTO USE ONLY</u>
---	---	---------------------

Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	\$ 0.00	
---	---------	--

CLAIMS	NUMBER: FILED	NUMBER: EXTRA	RATE		
TOTAL	49-20=	29	X \$ 50.00	\$ 1,450.00	
INDEPENDENT	6-3=	3	X \$ 200.00	\$ 600.00	
Multiple dependent claims(s) (if applicable)			+ \$360.00	\$ 0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 2,650.00</b>	
Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).				\$ 0.00	
<b>SUBTOTAL =</b>				<b>\$ 2,650.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +				\$ 0.00	
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 2,650.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 0.00	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 2,650.00</b>	
				Amount to be: refunded	\$
				charged	\$

Attorney Docket: 25528U

MAIL STOP PCT

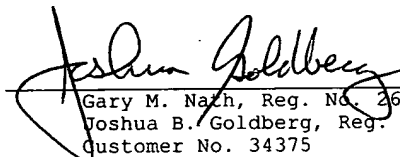
U.S. Application Number: (if known): Not Yet Assigned <b>10/566079</b>	International Application Number: PCT/US2003/023723	Date: January <u>27</u> , 2006
--	--	--------------------------------

- a. ☒ One check in the amount of \$ 2,650.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account Number: 14-0112 in the amount of \$ \_\_\_\_\_ to cover the above fees. (A duplicate copy of this sheet is enclosed.)
- c. ☒ The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account Number: 14-0112.
- d. ☐ Fees are to be charged to a credit card \_\_\_\_ WARNING: Information on this form may become public \_\_\_\_ Credit Card Information should not be included on this form.  
\_\_\_\_ Provide credit card information and authorization on PTO-2038

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:

Gary M. Nath  
NATH & ASSOCIATES PLLC  
112 South West Street,  
Alexandria, VA 22314  
Tel: 1-703-548-6284  
Fax: 1-703-683-8396

  
Gary M. Nath, Reg. No. 26,965  
Joshua B. Goldberg, Reg. No. 44,126  
Customer No. 34375